Annex 2 to the Regenerative Agriculture Standard. Malting barley. No CSO SM 000240401

APPROVED

Head of the Barley Growers' Association Order No 2024/04/22-1, 22 April 2024

Barley Growers' Association

CERTIFICATION UNDER THE REGENERATIVE AGRICULTURE STANDARD MALTING BARLEY

AUDIT PROCEDURE RULES

1. Purpose

Ensure the quality of the audit processes for the certification of the Regenerative Agriculture Standard by the auditor appointed by the Barley Growers Association.

This audit procedure shall be reviewed at least once a year.

2. Terms and definitions

The Regenerative Agriculture Standard is a Standard developed by the Barley Growers' Association and a group of experts, which sets out the criteria to be met by cereals produced under the Regenerative Agriculture Standard.

A certification audit is a process whereby, at the application of the grower, an auditor appointed by the Barley Growers' Association, in accordance with the established audit procedures, carries out an audit and, on the spot, carries out measurements, surveys and evaluations of the agricultural unit and assesses its compliance with the requirements of the Regenerative Agricultural Standard, which results in the preparing of an audit report.

An audit report is a document drawn up by an auditor appointed by the Barley Growers' Association who, in accordance with the established audit procedures, carries out a documentary check and carries out measurements, surveys and evaluations of the agricultural unit on the intended site to assess its compliance with the requirements of the Regenerative Agriculture Standard, to identify any non-compliance and to provide for the necessary corrective actions.

The certificate of restorative agriculture is a document issued by the Barley Growers' Association, at the request of the grain buyer or processor, when the buyer or processor purchases malting barley from a producer who is listed in the Barley Growers' Association's register of certified producers and who has successfully passed a certification audit with no irregularities.

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Auditor - a person appointed by the Barley Growers' Association who, in accordance with the established audit procedure, carries out on the spot, on a designated site, a documentary check of the agricultural unit and field measurements, surveys and tests and assesses its compliance with the requirements of the Regenerative Agriculture Standard.

Non-conformity - non-compliance of the parameters of the audited agricultural unit/field with the requirements set out in Annex 1 of the Regenerative Agriculture Standard.

Corrective action means an action to eliminate the cause of a non-conformity, defect or other undesirable event and to prevent its recurrence.

Note - minor, one-off non-compliance of the parameters of the audited agricultural unit/field with the requirements of the Regenerative Agriculture Standard.

Correction - a correction, reworking or adjustment to eliminate an existing non-conformity.

3. Related documents

PPIS (Information system for the reception of applications) declaration, field logbook, soil test reports, if any, seed certificates, other as requested by the auditor.

4. Responsibility

The organization, execution and control of these Audit Procedures shall be the responsibility of the Head of the Barley Growers' Association.

5. Guiding principles for auditors

1) Independence. The auditor can only carry out an audit if he or she is independent of the client, the customer and the audited entity;

2) Integrity and objectivity. The auditor must not intentionally misrepresent the facts and his/her opinion must not be influenced by the opinions of others;

3) Professional competence. The auditor must have the professional knowledge and skills to provide a professional service;

4) Confidentiality. The auditor shall keep confidential the information entrusted by the client and shall not disclose it to any third party.

6. Auditors

Certification audits may only be carried out by an auditor approved by the Barley Growers' Association and complying with the basic principles for auditors set out in these Rules.

7. Grower/Unit representative

Grower/agricultural unit representative:

- ensure that the conditions are in place for the audit to take place;
- is responsible for ensuring that timely corrective action is taken to address non-conformities identified during the audit;
- participate in field audits and, where appropriate, ensure the participation of staff relevant to the area being audited.

8. Actions to organize and conduct certification audits

8.1. Submission of an application

The grower/representative of the agricultural unit shall complete the Barley Growers Association Certification Audit Application, which shall include:

- Applicant's details (name, surname, company name, company/person code, address, contact details);
- the land parcel reference number (LPR);
- outdoor number;
- area in hectares;
- crop type;
- used seeds;
- sowing time;
- other data specified in the application.

The application shall also be accompanied by: Regenerative Agriculture Plan, the PPIS declaration data, the field logbook data, the results of soil tests, if any, seed certificates, acquisition documents and other relevant information.

8.2 Verification of documents

The grower/agricultural unit representative shall provide the auditor with the PPIS declaration data, the field logbook data, the results of soil tests, if any, and other relevant information, upon request from the auditor authorized by the Association of Barley Growers.

8.3. Field inspection, measurements

The grower/agricultural unit representative shall meet with an auditor authorized by the Barley Growers' Association at a pre-agreed time and place and the auditor shall carry out the scheduled field tests and measurements.

9. Audit report

On completion of the audit, the auditor shall provide the producer/agricultural unit representative whose agricultural unit/field has been audited with an audit report which, if found, shall indicate any non-compliance with the requirements of the Regenerative Agriculture Standard, observations and suggested corrective actions.

10. Analysis of audit results and corrective actions

The producer/agricultural unit representative whose agricultural unit/field has been audited and during the audit non-compliance with the requirements of the Regenerative Agriculture Standard or observations have been identified shall take the necessary steps to remedy the non-compliance as well as to carry out the corrective actions suggested by the auditor. In this case, the grower has the right to reapply to the Barley Growers' Association for a re-certification audit.